

DEPARTMENT OF PUBLIC SAFETY

CORRECTIONS ADMINISTRATION POLICY AND PROCEDURES

FEB 0 8 2012

POLICY NO.: COR.10.1E.05

SUPERSEDES (Policy No. & Date): COR.10.1E.05 & 02/02/2011

SUBJECT:

MENTAL HEALTH ASSESSMENT AND EVALUATION

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1.0 PURPOSE

The purpose of this policy is to ensure that all inmates with positive mental health screens receive a Post-Admission Mental Health Assessment (PAMHA) and a Mental Health Evaluation (MHE) (as appropriate) to determine the mental health history and status of the inmate. Those inmates with a positive screens or positive PAMHA's are referred to the appropriate mental health staff for further assessment and evaluation, if required.

2.0 REFERENCES AND DEFINITIONS

.1 References

- a. <u>Hawaii Revised Statutes</u>, Section 26-14.6, Department of Public Safety; and Section 353C-2, Director of Public Safety, Powers and Duties.
- b. <u>Standards for Health Services in Prisons</u>, National Commission on Correctional Health Care, (2008).
- c. Anno, B. Jaye, Prison health Care: <u>Guidelines for the Management of an Adequate Delivery System</u>, pp.125-6. Washington, DC: National Institute of Corrections (2001).
- d. Abram, Karen M. and Teplin, Linda A., "Co-Occurring Disorders Among Mentally III Jail Detainees." American Psychologist, pp.1036-45. October 1991.

.2 Definitions

- a. <u>Licensed Mental Health Professional (LMHP)</u>: A psychiatrist, a licensed or license eligible psychologist, an advanced practice nurse with a psychiatric clinical nurse specialist (APRN/CNS), a registered nurse with a psychiatric certification or an appropriately qualified physician whom has been provided training in the following policies and procedures: e.g. Suicide Prevention (Suicide and Safety Watch), Seclusion and Restraint, with a minimum of one year experience working with people with mental illness.
- b. <u>Qualified Mental Health Professional (QMHP)</u>: An appropriately qualified physician, psychiatrist, psychologist, counselor, therapist, social worker, or nurse who is competent, whether by education, training, licensure, or

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experience, to make a particular decision, or deliver the particular service, at issue.

3.0 POLICY

All inmates with a positive mental health screen will receive a Post-Admission Mental Health Assessment (PAMHA) by a QMHP within fourteen (14) days of admission to the facility. However, inmates admitted to the mental health modules will have a PAMHA within one (1) business day, unless they are placed on a watch status (suicide or safety); in which case the Suicide Risk Evaluation (SRE) will determine whether or not a PAMHA is required, or they have a pre-existing PAMHA from the current facility admission. PAMHA's are not required for inmates who are cleared from watch status within the one (1) business day do not have a serious mental illness. Inmates with positive assessments may be referred to an LMHP for further Mental Health Evaluation (MHE), as needed. All inmates with serious mental illness residing on a Mental Health Treatment module for will have a Mental Health Evaluation (MHE) within fourteen (14) days.

4.0 PROCEDURES

- .1 The PAMHA includes a structured interview with inquiries into the history of:
 - a. psychotic thinking;
 - b. psychiatric hospitalization and outpatient treatment,
 - c. suicidal behavior,
 - d. violent behavior.
 - e. victimization and/or physical trauma,
 - f. referral for special education screening and placement,
 - g. cerebral trauma or seizures, and
 - h. sex offenses,
 - i. criminal History (number of arrests, violence of crime, charges, drug related, etc.)
 - j. employment / unemployment (length & frequency of each)
 - k. financial status (household income & sources)
 - I. marital / partner / family (length of time, relationship of parents, etc.)
 - m. living accommodations / homelessness (address changes in past year)
 - n. leisure / recreational activities (probe for amount of free time)
 - o. companions (who, criminal activities)
 - attitude / orientation (worst thing ever done to someone, justification for present sentence).

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- .2 The interview shall also include the current status of the inmate's:
 - a. psychotropic médications,
 - b. suicidal idéation,
 - c. drug or alcohol use.
 - d. orientation to person, place, and time, and
 - e. emotional response to incarceration.
- Inmates who receive a positive PAMHA may be referred to the appropriate LMHP or educational professional for further evaluation, as needed.
- .4 The PAMHA shall be documented on form DOC 0453.
- .5 The PAMHA shall be documented on the Multidisciplinary Progress Notes in the medical record.
- Mental retardation, developmental disabilities and learning disabilities shall be referred to the Education Program. Mental Health staff shall share necessary information with the Education Program to ensure the proper placement and continued stability of the inmate.
- .7 Further Mental Health Evaluations shall be documented on form DOC 0471 and shall include:
 - a. Diagnoses
 - b. Behavioral Alerts
 - c. Medication and Targeted Symptoms
 - d. Clinical Summary and Recommendations
 - e. Developmental History
 - 1. Family history
 - 2. Familial mental illness
 - f. Trauma
 - g. Education
 - h. Marital Status
 - Substance Use and Abuse History
 - j. Work and Income History
 - k. Criminal History
 - I. History of Violence
 - m. Adjustment to Incarceration
 - n. Medical History
 - o. Mental Health History
 - p. Current and Past Medications and outcomes

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9	 q. Other Medications r. Complete Mental Status Examination that includes risk violence and patient strengths s. Summary that links DSM criteria to diagnoses, as well a diagnoses and rationale t. Level of functional Impairment u. Final treating diagnoses, with concurrence of treatment v. Final Recommendations 	as differential
8.	Patients who require acute mental health services beyond the facility are transferred to an appropriate facility or agen	
5.0 SC	COPE	
	is policy and procedures applies to all correctional facilities and resonnel. APPROVAL RECOMMENDE Medical Director Correctional Health Care Add	ED:
	Deputy Director for Correction	ons Date
APPROV	ED:	
godin	Musaka-Huata Director	

2 8 20N Date

MENTAL HEALTH ASSESSMENT & EVALUATION (Post-Admission)

NA	ME: SID: FACILITY:		
DO	DB:/ PLACE OF BIRTH: GENDER: () Male ()	Female	
E-4L	nnicity: () Hawaiian/Part-Hawaiian () Pacific Islander () Asian () Native American Indian		
Lu	() Caucasian () African American () Hispanic () Mexican () Other		
Cu	rrent Offense:		
Co	nsiderations For Interview: () None () English Not Primary Language () Hearing Impaired		
	() Vision Impaired () Wheel Chair/Other Mobility Problems () Security Risk () Other		
		,	,
	QUESTIONS	YES	NO
1	Have you ever had an Emotional, Mental or Psychological problem(s)? If Yes,		
2	Did you receive any counseling, therapy or Treatment for your problem(s)? If yes,		
	What was it for?		
	When? Where?		
	From Whom?		
	What was it for?		
	When? Where?		
	From Whom?	1	ļ
	What was it for?		
	When? Where?		
	From Whom?		
3	Have you ever taken medicine(s) prescribed for the above condition(s)? If yes,		-
	Prescribed by (Name MD or Psychiatrist):	1	
	Name(s) of Current Psychotropic Medication(s)	1	
			
4		-	
	Where? When? Why?	<u> </u>	_
	Where? When? Why?	ļ	
	Where? When? Why?		
5	Has any member of your Family ever had any mental problem(s)? If yes,		
	Who?		
	What problem?		
6	Have you ever had a severe Head Injury requiring treatment? If yes,		
7	When?		
/	Have you ever had a Seizure(s)? If yes,	-	
0	When was your last Seizure?		
8	Have you ever tried to hurt yourself or Attempt to Commit Suicide? If yes,	ļ	
	How? How many times?	 	
	Was medical attention required? If yes,		
	What treatment did your receive?		
9	Have you ever Hurt Yourself on Purpose when not trying to attempt suicide?		
10	Are you now thinking of Killing Yourself while in here?		
11	Current Status of Orientation:		
	Person?	 	
	Place? Time?		
	Situation?		
12	Do you Hear Things other people do not hear? If yes.		
14	O LOU VOU ENGAL ENHAMA OLUGI DECOUG OU HOLUGAL! 11 VES		

Describe:

MENTAL HEALTH ASSESSMENT & EVALUATION (Post-Admission)

13	Do you See Things other people do not see? If yes,		
	Describe:		
14	Do you believe you have Special Powers that others do not have? If yes,		
	Describe:		
15	Have you ever used or currently use Drugs, Including Alcohol? If yes, check:	04	
	QUESTIONS	YES	NO
	() Alcohol () Cocaine () Heroin () Ice/Methamphetamine () LSD () Marijuana		1
	() Hallucinogens () Other:		
16	Highest Grade Completed in School: () Grade: ; Graduated H.S./Yr:		
17	While in school, were you ever in Special Education Classes?		
	If yes, from When to When		
18	Other Developmental or Educational Difficulties (Describe)		
	,		
19	Were you ever placed in a Juvenile Detention Center or Boys'/Girls' Group Home?		
20	Employment / Unemployment: Total #'s of Jobs: (List last three)		
	Special Skills:		
	Type of Job: Length of Time:		
	Type of Job: Length of Time:		
	Type of Job: Length of Time:		
21	Financial (income and sources):		
22	Marital / Partner / Family Status (positive)		
23	Living Accommodations: (identify last three addresses):;		
	; . If homeless indicate "No"		
24	Where do you consider home?	N/A	N/A
25	Leisure / Recreation: identify;		
	If too much free time indicate "No"		
26	Companions (who & criminal activities):		
27	Attitude / Orientation: Worst thing ever done to someone:		
•	Justification for present sentence:		
28	Criminal History - # of arrests; charges:		
20			
29	Have you ever been Convicted of a Sexual Offense?		
30	Have you ever, with little or no provocation, experienced Loss of Control that resulted in serious		
	Harm to Someone or Destruction of Property, if yes		
	When?		
	What did you do? When?		
	What did you do?		
	When?		
	What did you do?		
31	Present Emotional Response to Incarceration (Describe)		
<i>J</i> 1	Tesent Emotional Response to Incarceration (Describe)		
32	Have you ever been Victim of Criminal Violence? If yes,		
	Describe:		
33	Have you ever been a victim of physical abuse or trauma?		
رر	Describe:		·
34	Have you ever had nightmares about abuse/trauma or thought about it when you did not		
٠,	want to?		
	WHILE TO !		
			ł

MENTAL HEALTH ASSESSMENT & EVALUATION (Post-Admission)

35	Have you ever tried hard not to think about the abuse/trauma or went out of your way to							
	avoid situations that reminded you of it?							
36	Are you constantly on guard, watchful, or easily startled?							
37	Have you ever felt numb or detached from others, activities, or your surroundings?							
38	Is Further Diagnostic Evaluation recommended? If yes,							
	Reasons(s) For Referral (Details Documented in Comment Section Below):							
	() Current Suicidal Ideation, Intent, Plan or Behavior(s)							
	() Prior Suicidal Gestures or Attempts in Past 6 Months							
	() Problematic Behavior(s), Confusion or Disorientation Resulting Directly from Current,							
	Significant Psychiatric Symptoms							
	() Current or Recent (within the past 6 months) Mental Health Treatment							
	() Unusual Nature of the Offense							
	() Standardized Psychological Intelligence Testing							
	() Trauma History (Treatment, Seclusion & Restraint considerations)							
	() Other:							
CO	MMENTS:							
Staf	f Staff							
Prin	t Name: Date:							
Title	e: () MD; () PhD; () MSW/LCSW/PSW/HSP; () MA; () NP; () RN; () LPN; () Other							

I/P Name:			SID: _		www.	FACILITY:	
[[AALID (print):				D-1			
LMHP (print):				Date:			
		PRESENTING P	ROBLEM / SUMM		LUATION		***
A. I/M Ethnicity	/: wed On:/	,	Non-Englis	sh Language:		Admission date:	
mmate interviev	wed On:/_	<u>'</u>					
Consent for Rel	lease of Informat	ion on File:	Yes No Records obtain		cords Reque	sted Date:	
B. Reason for I	Evaluation:						
MH Screening	Indicates Possi	ble: Thought	Disorder Mod	od Disorder	Suicidality	Other:	
Staff Referral	Indicates: M	IH History	Medication Review	v Dange	r to Self	Danger to Others	Other
Patient Self F	Referral Describ	е:					
C. FUNCTION	AL IMPAIRMENT	Specify:	1=Mild 2=N	Moderate 3	=Severe 4	I=None Apparent	
	√School		Medical		avioral contro	l	
ADL			Interpersonal		Mental Ilines	ss symptoms	
D. Current DSM	DIAGNOSES		Treat	ment Team Di	agnosis App	roval Date:	
Avia I				10			
Axis I:							
				· · · · · · · · · · · · · · · · · · ·			
,							
Axis II:	,						
Axis III:							
Axis IV:					2		
Axis V:	GAF=			ΔΙΜΟ	S Score:	AIMS D)ata:
E. Behavioral Ri	water-	o Cuinidal	Only Indicate				vale.
	isks and/or Alen: int Information:	s: Suicidal	Self Injurious	Assaultive	Gravely Di	sabled Other:	
F. Recommenda		s					
			Mental Health Se			· · · · · · · · · · · · · · · · · · ·	
□ Does Not	Meet Criteria 10	r inclusion in the	mentai rieatin Se	ervices			
☐ Meets Cri	teria for inclusion Axis I di			Mar annua			
Level of Care	a. Outratia	M (Onmara) Da-	.1 - 47 \				
Level of Care		nt (General Popu nfirmary / Evalua					
		ial Rehabilitatio					
	1103100111	iai i tonabiiitatioi	rivioguie				
Recommend	ed Housing:	Single cell No recommend	Double cell R Jation	tationale:			
LMHP:		Sic	nature:			Date:	
Print N	lame	v.s				Date	· · · · · · · · · · · · · · · · · · ·

MENTAL HEALTH EVALUATION (MHE)					
G. List Medications, Dose, Route, Frequency:	Target Symptoms, Compliance, Duration:				
Allergies:					
F. Clinical Summary / Follow up and initial Treatment Plan	n Recommendation:				
	=				
LMHP: Signature: Signat					
G. Evaluation Follow Up Time Frame or Date:	H. Medication Follow-up Time Frame or Date:				

INSTRUCTIONS

The Mental Health Evaluation is to be used whenever an inmate is considered Severely Mentally III and placed in a Mental Health Module or whenever clinically indicated to support the Comprehensive Treatment Plan.

- 1. All items on the form must be filled in.
- 2. The handwriting must be legible. Print or type if necessary.
- 3. At the top of each page, enter the name of the facility, the name of the LMHP doing the evaluation, and date.

I. Patient Information/Presenting Problem Summary

- A. If inmate does not speak English, indicate primary language.

 Admission Date= Arrival date for the current incarceration

 Check all sources of information for evaluation.

 Indicate if a "Consent for Release of Information" is on file, if records have been obtained, and from where.
- B. Indicate the reason the inmate is being seen for current evaluation.
 MH Screening=Results of Reception Center or Ad Seg Mental Health Screening.
 Patient Referral=Describe reason inmate wants to be seen as indicated on "Inmate Request for Interview" form.
- Functional Impairment For each of the six categories, estimate whether impairment is mild, moderate, or severe.
- D. List all DSM diagnoses including number and name of diagnosis. Enter the date the diagnoses were approved by the Interdisciplinary Treatment Team. Axis V Use information in section C to determine the Global Assessment of Functioning (GAF) score using scale in DSM manual.
- E. Indicate any behavioral risks, alerts or other relevant clinical information.
- F. Recommendations Indicate the outcome of evaluation in Section and whether inmate meets criteria for inclusion in Mental Health Services. If inmate meets criteria, indicate level of care and placement recommendations. Indicate whether single or double cell housing is recommended.
- G. List current psychotropic medications with dosage and frequency of administration. List allergies. Indicate symptoms targeted
- H. Give a brief narrative clinical summary and the recommendation for continuing care. Describe need for follow-up indicating type and date. If additional space is needed, continue on add-a-page.
- Indicate the time frame for the next follow up meeting (e.g. one week, 30 days, 90 days)
- J. Indicate the date of the next medication follow up or re-order.

MENTAL HEALTH EVALUATION (MHE) SID: _____ FACILITY:

I/P Name:	SID:	FACILITY:	
LMHP (print):	Date:		
II. DEVELOPMENTAL AND SOCIAL HISTORY			
A. Family of Origin: Raised by		Siblings:	
Family Problems: Mental Health Describe:	Alcohol Drug	Criminal	
Childhood Trauma: Denied; No evidence	ce If yes, describe:		
Clandard H	1 to al Octato Completed:		
B. Education Standard Hi	ighest Grade Completed:	<u> </u>	
Behavior Problems Special Educati Comments:	ion/Learning Disability	Developmental Disability	. 5
	<u> </u>		
C. Marital: S / M / D / W Number of Marriages Comments:	: Longest Marriag	je: Children: F	M

D. Substance Abuse History Describe:			
Describe.			
E. Work History: Always Periodic Ra	li: Votoman Ponor	41 \I	
	arely Veteran: Repor SSI Homeless Issues		
Incomo source.	331 HUITIGIGGG TAGGGG	Addit years employed.	
Occupation(s):			
Longest Period of Employment:			#4F-W
Comments:			
F. Criminal History: No priors Juvenile	History Gang Affiliation	n Adult Arrests/Convictions	
Describe:			
Current Offense(s):		0	
G. History of Violence: None Describe: _			
H. Adjustment to Incarceration: Adequate Describe:	Poor SHU Gang	s Safety Concerns	

INSTRUCTIONS

II. Developmental and Social History

- A. Indicate who raised the inmate and if there were any problems. Include family history of mental illness/treatment. Indicate if the inmate has a history of traumas including physical, sexual, or emotional abuse or neglect.
- B. Indicate the highest grade achieved by the inmate and whether the inmate had behavioral problems such as Attention Deficit Disorder or required Special Education classes. Indicate if the inmate was diagnosed as being developmentally disabled.
- C. Indicate current marital status, number of marriages, longest marriage, number of children.
- D. Indicate if inmate has a substance abuse history. Describe substances used and tried; and how it affected mental status.
- E. Describe source of income when inmate was arrested. Describe work history including primary occupation and any particular job skills.
- F. Indicate criminal history, including juvenile history, gang affiliations. List instant offense(s) by name (and Penal Code number, if known).
- G. Describe history of violence toward others. Include assaults, domestic violence, and sexual assault.
- H. Describe any special problems in adjustment to prison life.

ne:		FACILITY:	***************************************
II. HISTORY (continued	d)		
Medical History:	None reported or documented		
Significant head trauma		¥	
Allowing			
Allergies			
Coma/Loss of conscious	ness		
Seizures			
Other microst madical as	a blanca.		•
Other relevant medical pr	ropiems:		
J. Mental Health History:	None reported or documented		*************************************
Outpatient care			
inpatient care			
While incarcerated			
K. Psychotropic Medication	on: None		
Current Psychotropic med	lications:		
Past Psychotropic medica	tions and outcomos		
T doct Sycholopic modica	aons and outcomes.		
Other Current medications	s:		
		9.	
			*

INSTRUCTIONS

II. History (Continued)

- I. Medical History Describe any relevant medical history. If none, check box.
- J. Mental Health History Describe past treatment. If possible, give dates and provider's name. Request a release of information to contact and get records from previous providers or inpatient programs. If no prior history, check box.
- K. Psychotropic Medication List current and past psychotropic medications by name, dosage, dates of prescriptions, and benefits. List other current medications. If no medications, check box.

I/P Name:		SID:		FACILITY:	
LMHP (print):				Date:	
L	1201471011			Date.	
III. MENTAL STATUS EXA	AMINATION	· · · · · · · · · · · · · · · · · · ·			
A. Appearance:					
B. Behavior/Cooperation:		W-W-			
	[4				
C. Orientation:	WNL				();
D. Speech:	WNL				
- A. C	14/15 II				
E. Affect	WNL				
F. Mood:	WNL				
1. WOOG,	AAIAL				
G. Sleep/Appetite:	WNL		78 TWO		
H. Cognition:					
Fund of information	WNL				
Intellectual Functioning	WNL				
Concentration	WNL				
Attention	WNL				
Memory	WNL				
1. They girt Dragonas	14/h H	7	0	***	
I. Thought Processes:	WNL	Tangential	Circumstantial	Loose	
J. Perception:					
Hallucinations	None				
K. Thought Content:					
Delusions	None				
Ideas of reference	None				
Obsessions	None				
Magical Thinking	None				
	LA/A II				
L. Insight	WNL				Ì
Judgment	WNL				1
					1

INSTRUCTIONS

III. Mental Status Examination

- A. Appearance Describe inmate's appearance, including dress, grooming, body type, posture, nutritional status, hair color, and anything unusual.
- B. Behavior/Cooperation Describe inmate's general behavior including reaction to interview, eye contact, psychomotor movements, unusual gestures, facial movements, abnormal movements, level of cooperation, estimate of truthfulness, and accuracy of information provided.
- C. Orientation Indicate if inmate is oriented in all spheres; describe deficits.
- D. Speech Note if there are any unusual speech patterns, speech disorders, (e.g. stuttering), problems of articulation, pressured speech, unusual phrasing and grammar, unusual use of words, neologisms.
- E. Affect Describe emotional expression including range of feelings, appropriateness, intensity, and duration.
- F. Mood Describe quality, stability, reactivity, intensity, and duration. If depressed explore past history of depressive episodes.
- G. Sleep/Appetite Describe any problems with inmate's sleep patterns: hypersomnia, insomnia, inquire whether there is a problem falling asleep, staying asleep, or early morning awakening. Ask about nature of dreams. Indicate duration of problem. Describe increased or decreased appetite, weight gain or weight loss, duration of problem. Ask about eating disorders.
- H. Cognition Describe in detail any abnormal cognitive processes. Fund of information Indicate whether normal, impoverished, enriched. Intellectual functioning In addition to information gained during the course of the interview, indicate results of intellectual screening/testing, TABE test, or school performance if available. Estimate whether intellectual ability lies in below average, average, or above average range.
- Thought processes Include description of organization, and level of abstraction.
- J. Perception: Hallucinations. Describe any type of perceptual disturbances (e.g. auditory, visual, olfactory); when they started, whether present all the time, and how they are controlled.
- K. Thought content: Delusions. Describe content, meaning, type (e.g. grandiose, paranoid, guilt), and inmate's reaction.
- L. Insight: Describe level of awareness and understanding of symptoms and problems. Judgment Describe inmate's ability to make socially appropriate decisions, set reasonable goals, cope effectively with problems of daily living, respect the rights of others.

I/P Name:			SID:		FACILITY:	
LMUD (odel)				Data		
LMHP (print): III. MENTAL STATUS	EVAMINATION	(Continued)		Date:		
M. Suicide History:	Ideation	Intent	Plan	Attempt	Gesture	F)
-	Assessment Che	ecklist complet	ed Date:	•		
Risk Factors:	PC/SNY	Family H	-	History of Serio	•	
Describe history, lethal			factors:			
N. Current violence ris	k factors:					
(8)						
O lamata atrangilla.						
O. Inmate strengths:						7
IV. SUMMARY: List D	SM criteria that fferential diagno	ustify the dia	gnosis onale			

		·				
	· · · · · · · · · · · · · · · · · · ·					

INSTRUCTIONS

- III. Mental Status Examination (continued)
 - M. Suicide History Check appropriate box(es), describe in detail.
 - N. Current violence risk factors Include homicidal ideation, intent, and/or plan.
 - O. Describe inmate's strengths that can be helpful in treatment.
- IV. Summary: Give a brief narrative summary of inmate's history, current problems, and potential for benefiting from treatment. List DSM criteria that justify the diagnosis, as well as differential diagnoses and rationale for final diagnosis. Use add-a-page, if more space is needed.

ne:		SID:	FACILITY:	
LMHP (print):			Date:	
		ADDENDUM: Add		
		nd Page, Form		
Add to top	as an update or correction:	top form, regard	ing page	
PAGE	SECTION		NARRATIVE	
			MANUALIVE	
			The state of the s	
				140
			70.794.	
· · · · · · · · · · · · · · · · · · ·				
LMHP's Signat	Uro:			

INSTRUCTIONS

Add-a-Page

This page is to be used:

- When more space is needed for a particular section of either the Mental Health Evaluation or the Treatment Plan.
- To update a previous Mental Health Evaluation with additional information or details. If there is a substantial new history or other information for the Evaluation, use a new Mental Health Evaluation Form.

At the top of the page, check the box to indicate if this is additional information, an update, or corrections to a previous form.

The LMHP completing the form should sign at the bottom.

Fill in the two columns to indicate where the form is to be filed, either behind the page to which the addendum is to be added or on top of the previous form.